

JAN-16-2002 11:12

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Fax Cover Sheet

Date: 1/16/2002

421 west riverside avenue, suite 500, spokane, washington 99201



**To: US PATENT & TRADEMARK OFFICE
GROUP ART UNIT 2613
EXAMINER SENFI, BEHROOZ**

FAX NO.: (703) 872-9314

From: Steven R. Sponseller/Cheryl Boies

Re: Application Serial No. 09/458,300

Number of Pages including cover sheet:

8

**Steven R. Sponseller, Reg. No. 39,384
(509) 324-9256 x217**

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PTO/SB/97 (08-00)

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Application Number: 09/458,300

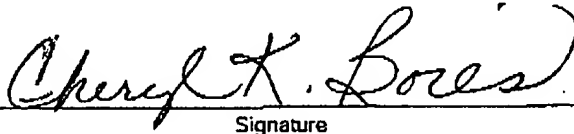
Filing Date: Dec 10, 1999

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Signature

Cheryl K. Boies

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each submitted paper.

1. Preliminary Amendment (5 pages)
2. Fee Transmittal (1 page)
3. Fax Cover Sheet

TOTAL NUMBER OF PAGES TRANSMITTED 8

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/8847 (10-01)

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FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	09/458,300
		Filing Date	Dec 10, 1999
		First Named Inventor	McMahon
		Examiner Name	Senfi, B.
		Group Art Unit	2613
		Attorney Docket No.	MS1-365US
TOTAL AMOUNT OF PAYMENT		(\$) 384.00	

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr> <tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116 400</td><td>216 200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117 920</td><td>217 460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118 1,440</td><td>218 720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>126 1,060</td><td>226 980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119 320</td><td>219 160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120 320</td><td>220 160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121 280</td><td>221 140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141 1,280</td><td>241 640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142 1,280</td><td>242 640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143 460</td><td>243 230</td><td>Design issue fee</td><td></td></tr> <tr><td>144 620</td><td>244 310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123 50</td><td>123 50</td><td>Processing fee under 37 CFR 1.17(a)</td><td></td></tr> <tr><td>126 180</td><td>126 180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146 740</td><td>246 370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149 740</td><td>249 370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179 740</td><td>279 370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169 900</td><td>169 900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="3">Other fee (specify)</td><td></td></tr> <tr><td colspan="3">Subtotal (3)</td><td>(\$) 0.00</td></tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath		127 50	227 25	Surcharge - late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for ex parte reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 400	216 200	Extension for reply within second month		117 920	217 460	Extension for reply within third month		118 1,440	218 720	Extension for reply within fourth month		126 1,060	226 980	Extension for reply within fifth month		119 320	219 160	Notice of Appeal		120 320	220 160	Filing a brief in support of an appeal		121 280	221 140	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,280	241 640	Petition to revive - unintentional		142 1,280	242 640	Utility issue fee (or reissue)		143 460	243 230	Design issue fee		144 620	244 310	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Processing fee under 37 CFR 1.17(a)		126 180	126 180	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))		149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))		179 740	279 370	Request for Continued Examination (RCE)		169 900	169 900	Request for expedited examination of a design application		Other fee (specify)				Subtotal (3)			(\$) 0.00
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SUBMITTED BY		Complete if applicable	
Name (Print/Type)	Steven R. Sponseller	Registration No. (Attorney/Agent)	39,384
Signature	<i>Steven R. Sponseller</i>	Telephone	(509) 324-9256
		Date	1-16-02

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Official**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Serial No.09/458,300
Filing Date12/10/1999
Inventor.....McMahon
Group Art Unit2613
ExaminerSenfi, Behrooz
Attorney's Docket No.MS1-365US

Title: Layered Coding and Decoding of Image Data

PRELIMINARY AMENDMENT

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
CUSTOMER NO. 22801

